Equity Services, Inc. - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2024

S&P 500 Stocks

Summar

	Non-Directed		Market Orders		Limit Orders as		Non-Marketable Limit		
	Orders as %	Total Market	as % of Non-	Total Marketable	% of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
Total Non-Directed Orders	of All Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
1914	100	1672	87.36	89	4.65	150	7.84	3	0.16

/enues

Venue - Non-directed Order Flow		Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders		Non-Marketable Limit Orders		Other Orders		Net Payment	Net Payment Paid/Received for Market Orders(cents per	Net Payment Paid/Received for Marketable Limit	Marketable Limit Orders(cents	Net Payment Paid/Received for Non- Marketable Limit	Marketable Limit Orders(cents per hundred	Net Payment Paid/Received for Other	
Citadel Securities LLC(CDRG)	691	33.06	619	37.02	32	35.16	40	12.35	0	O	0	C	0	C	0	0	0	0
VIRTU Americas, LLC(NITE)	488	23.35	430	25.72	24	26.37	31	9.57	3	100	0	C	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	339	16.22	296	17.7	18	19.78	25	7.72	0	o c	0	C	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	332	15.89	299	17.88	14	15.38	19	5.86	0	o c	0	C	0	O	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	117	5.6	0	0	2	2.2	115	35.49	0	o c	0	C	0	C	0	0	0	О
New York Stock Exchange(NYSE)	73	3.49	0	0	1	1 1.1	72	22.22	0	C	0	C	0	C	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	14	0.67	0	0	(0	14	4.32	0	C	0	C	0	C	0	0	0	0
UBS Securities, LLC(UBSS)	12	0.57	12	0.72		0	0	0	0	C	0	C	0	C	0	0	0	0
StoneX Financial INC.(INTL)	10	0.48	10	0.6	(0	0	0	0	C	0	C	0	C	0	0	0	0
Members Exchange(MEMX)	8	0.38	0	0		0	8	2.47	0	C	0	C	0	0	0	0	0	0

Material Aspects:

April 2024

Non S&P 500 Stocks

Summary

					Marketable				
	Non-Directed		Market Orders		Limit Orders as		Non-Marketable Limit		
	Orders as %	Total Market	as % of Non-	Total Marketable	% of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
Total Non-Directed Orders	of All Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders

6587	7 100	6161	93.53	93	1.41	329	4.99	4	0.06									
Venues	•	•	•		•					•								
Venue - Non-directed Order Flow	Non-Directed Orders		Market Orders		Marketable Limit Orders		Non-Marketable Limit Orders		Other Orders		Net Payment Paid/Received for Market Orders(USD	Net Payment Paid/Received for Market Orders(cents per		Marketable Limit Orders(cents per hundred	Net Payment Paid/Received for Non- Marketable	Marketable Limit Orders(cents per hundred	Net Payment Paid/Received for Other	
Citadel Securities LLC(CDRG)	2167	31.4	2081	33.71	28	29.17	57	9.08	1	25	5	0)	0 (0	0	0	0
VIRTU Americas, LLC(NITE)	1707	24.74	1640	26.57	26	27.08	38	6.05	3	75	5	0)	0 (0	0	0	0
Jane Street Capital, LLC(JNST)	1285	18.62	1228	19.89	19	19.79	38	6.05	0	C)	0)	0 (0	0	0	0
G1 Execution Services, LLC(ETMM)	1161	16.82	1111	18	20	20.83	30	4.78	0	C		0)	0 (0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	124	1.8	0	0	0	0	124	19.75	0	C		0)	0 (0	0	0	0
NYSE Arca(ARCA)	122	1.77	0	0	1	1.04	121	19.27	0	C)	0)	0 (0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	98	1.42	0	0	0	0	98	15.61	0	C		0)	0 (0	0	0	0
New York Stock Exchange(NYSE)	85	1.23	0	0	0	0	85	13.54	0	C		0)	0 (0	0	0	0
UBS Securities, LLC(UBSS)	43	0.62	42	0.68	0	0	1	0.16	0)	0) (0 (0	0	0	0
StoneX Financial INC.(INTL)	37	0.54	35	0.57	1	1.04	1	0.16	0	C		0)	0 (0	0	0	0

Material Aspects:

April 2024

Option Contracts

Summary

					Marketable				
	Non-Directed		Market Orders		Limit Orders as		Non-Marketable Limit		
	Orders as %	Total Market	as % of Non-	Total Marketable	% of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
Non-Directed Orders	of All Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
6	100	4	66.67	1	16.67	1	16.67	0	0

Vellues																		
														Net Payment		Net Payment		
														Paid/Received		Paid/Received		
													Net Payment		Net Payment			Net Payment
												Net Payment	Paid/Received	Marketable	Paid/Received	Marketable		Paid/Received
												Paid/Received	for	Limit	for Non-	Limit	Net Payment	for Other
											Net Payment	for Market	Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
	Non-Directed	Non-Directed			Marketable	Marketable Limit	Non-Marketable Limit	Non-Marketable Limit			Paid/Received for	Orders(cents per	Limit	per hundred	Limit	per hundred	for Other	per hundred
Venue - Non-directed Order Flow	Orders	Orders(%)	Market Orders	Market Orders(%)	Limit Orders	Orders(%)	Orders	Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD)	hundred shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)
Susquehanna Capital Group(SUSQ)	3	50	3	75	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	2	33.33	1	25	1	100	0	0	0	0	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1	16.67	0	0	0	0	1	100	0	0	0	0	0	0	0	0	0	0

Material Aspects:

S&P 500 Stocks

Summary

										1
					Marketable					4
	Non-Directed		Market Orders		Limit Orders as		Non-Marketable Limit			1
	Orders as %	Total Market	as % of Non-	Total Marketable	% of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of	4
Total Non-Directed Orders	of All Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders	4
1405	100	1189	84.63	40	2.85	176	12.53	0	(0

Venues

venues																		
Venue - Non-directed Order Flow		Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders		Other Orders	Other Orders(%)	Net Payment	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Limit		Net Payment Paid/Received for Non- Marketable Limit	Marketable Limit Orders(cents per hundred	Net Payment Paid/Received for Other	
Citadel Securities LLC(CDRG)	443	29.69	370	31.01	. 10	6 39.02	57	22.09	C) (0) (0) (0	C	0	С
VIRTU Americas, LLC(NITE)	376	25.2	332	27.83	(14.63	38	14.73	C		0)	0) (0	0	0	0
G1 Execution Services, LLC(ETMM)	285	19.1	. 245	20.54		9 21.95	31	12.02	C		0)	0) (0	O	0	0
Jane Street Capital, LLC(JNST)	251	16.82	221	18.52		9 21.95	21	8.14	C) (0) (0) (0	C	0	0
NASDAQ Execution Services, LLC(NSDQ)	61	4.09	0	0	(0	61	23.64	C) (0) (0) (0	C	0	0
New York Stock Exchange(NYSE)	30	2.01	. 0	0	(0	30	11.63	C) (0) (0) (0	0	0	0
StoneX Financial INC.(INTL)	14	0.94	12	1.01		1 2.44	1	0.39	C) (0	() () (0	C	0	0
Cboe EDGX US Equities Exchange(EDGX)	12	0.8	0	0	(0	12	4.65	C) (0)	0) (0	C	0	0
Two Sigma Securities, LLC(SOHO)	6	0.4	6	0.5	(0	0	0	C) (0) () (0	C	0	0
UBS Securities, LLC(UBSS)	6	0.4	. 3	0.25		0	3	1.16	C) (0) () () (0	0	0	0

Material Aspects:

May 2024

Non S&P 500 Stocks

Summary

						Marketable				
		Non-Directed		Market Orders		Limit Orders as		Non-Marketable Limit		
		Orders as %	Total Market	as % of Non-	Total Marketable	% of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
Tot	al Non-Directed Orders	of All Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
	5813	100	5289	90.99	55	0.95	468	8.05	1	0.02

Venues

Venue - Non-directed Order Flow		Non-Directed Orders(%)	Market Orders	Market Orders(%)			Non-Marketable Limit Orders		Other Orders		Net Payment	Net Payment Paid/Received for Market Orders(cents per	Paid/Received for Marketable Limit	Marketable Limit Orders(cents per hundred	Net Payment Paid/Received for Non- Marketable Limit	Marketable Limit Orders(cents per hundred	Net Payment Paid/Received	Orders(cents per hundred
Citadel Securities LLC(CDRG)	1699	25.68	1633	30.81	11	18.64	54	4.3	1	100	0	0	(0	0	0	0	0
VIRTU Americas, LLC(NITE)	1501	22.68	1434	27.06	14	23.73	53	4.22	0	0	0	0	(0	0	0	0	0
G1 Execution Services, LLC(ETMM)	1151	17.39	1102	20.79	13	22.03	36	2.86	0	0	0	0	(0	0	0	0	0
Jane Street Capital, LLC(JNST)	1040	15.72	996	18.79	14	23.73	30	2.39	0	0	0	0	(0	0	0	0	0
NYSE Arca(ARCA)	480	7.25	0	0	2	3.39	478	38.03	0	0	0	0	() (0	0	0	. 0
Cboe EDGX US Equities Exchange(EDGX)	188	2.84	0	0	0	0	188	14.96	0	0	0	0	(0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	169	2.55	0	0	1	1.69	168	13.37	0	0	0	0	(0	0	0	0	0
Cboe BZX U.S. Equities Exchange(BATS)	149	2.25	0	0	1	1.69	148	11.77	0	0	0	0	(0	0	0	0	0
Members Exchange(MEMX)	55	0.83	0	0	0	0	55	4.38	0	0	0	0	() (0	0	0	0
StoneX Financial INC.(INTL)	52	0.79	51	0.96	0	0	1	0.08	0	0	0	0	(0	0	0	0

Material Aspects:

May 2024

Option Contracts

Summary

										1
					Marketable					1
	Non-Directed		Market Orders		Limit Orders as		Non-Marketable Limit			4
	Orders as %	Total Market	as % of Non-	Total Marketable	% of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of	1
Total Non-Directed Orders	of All Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders	ı
17	100	7	41.18	1	5.88	9	52.94	0	()

Venues																		
														Net Payment		Net Payment		
												Net Payment Paid/Received	Net Payment Paid/Received	Marketable	Net Payment Paid/Received	Marketable		Net Payment Paid/Received
	Non-Binarta	I Nove Bissorted			Adamba kala	aandaabha tinib	Non Mandon block	Non Mandatable Hard			Net Payment	for Market		Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
		Non-Directed Orders(%)	Market Orders	Market Orders(%)			Non-Marketable Limit Orders		Other Orders		Paid/Received for Market Orders(USD)	Orders(cents per hundred shares)		per hundred shares)	Orders(USD)	per hundred shares)	for Other Orders(USD)	per hundred shares)
Citadel Securities LLC(CDRG)	10	58.82	2 3	42.86	1	100	6	66.67	0	0	0	(0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	3	17.65	5 2	28.57	0	0	1	11.11	0	0	0	(0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	2	11.76	0	0	0	0	2	22.22	0	0	0	(0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	1 5.88	1	14.29	0	0	0	0	0	0	0	(0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	1	1 5.88	1	14.29	0	0	0	0	0	0	0	(0	0	0	0	0	0

Material Aspects:

S&P 500 Stocks

Summary

					Marketable				
	Non-Directed		Market Orders		Limit Orders as		Non-Marketable Limit		
	Orders as %	Total Market	as % of Non-	Total Marketable	% of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
Total Non-Directed Orders	of All Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
1384	100	1162	83.96	80	5.78	135	9.75	7	0.51

Venues

venues		1				1									1			
Venue - Non-directed Order Flow		Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders		Non-Marketable Limit Orders		Other Orders		Net Payment	Net Payment Paid/Received for Market Orders(cents per	Net Payment Paid/Received for Marketable Limit		Net Payment Paid/Received for Non- Marketable Limit	Marketable Limit Orders(cents per hundred	Net Payment Paid/Received for Other	
Citadel Securities LLC(CDRG)	433	28.64	373	32.1	29	36.25	28	10.65	3	42.86	0	0	0	C	0	0	0	0
VIRTU Americas, LLC(NITE)	392	25.93	330	28.4	18	22.5	40	15.21	4	57.14	0	0	0	C	0	0	0	0
G1 Execution Services, LLC(ETMM)	251	16.6	216	18.59	14	17.5	21	7.98	0	0	0	0	0	C	0	0	0	0
Jane Street Capital, LLC(JNST)	242	16.01	205	17.64	16	20	21	7.98	0	0	0	0	0	C	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	100	6.61	0	0	С	0	100	38.02	0	0	0	0	0	C	0	0	0	0
New York Stock Exchange(NYSE)	33	2.18	0	0	С	0	33	12.55	0	0	0	0	0	C	0	0	0	0
StoneX Financial INC.(INTL)	23	1.52	20	1.72	1	1.25	2	0.76	0	0	0	0	0	C	0	0	0	0
UBS Securities, LLC(UBSS)	15	0.99	12	1.03	2	2.5	1	0.38	0	0	0	0	0	C	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	11	0.73	0	0	С	0	11	4.18	0	0	0	0	0	(0	0	0	0
Two Sigma Securities, LLC(SOHO)	8	0.53	6	0.52	C	0	2	0.76	0	0	0	0	0	(0	0	0	0

Material Aspects:

June 2024

Non S&P 500 Stocks

Summary

						Marketable				
		Non-Directed		Market Orders		Limit Orders as		Non-Marketable Limit		
		Orders as %	Total Market	as % of Non-	Total Marketable	% of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
T	otal Non-Directed Orders	of All Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
	5625	100	5262	93.55	55	0.98	305	5.42	3	0.05

Venues

Venue - Non-directed Order Flow		Non-Directed Orders(%)	Market Orders	Market Orders(%)			Non-Marketable Limit Orders					Net Payment Paid/Received for Market Orders(cents per		Marketable Limit	Net Payment Paid/Received for Non- Marketable	Marketable Limit Orders(cents per hundred	Net Payment Paid/Received	Orders(cents per hundred
Citadel Securities LLC(CDRG)	1712	27.26	1653	31.38	20	35.09	37	3.88	2	66.67	0	0	(0	0	0	0
VIRTU Americas, LLC(NITE)	1600	25.48	1547	29.37	14	24.56	38	3.99	1	33.33	0	0	() (0	0	0	0
G1 Execution Services, LLC(ETMM)	1005	16	975	18.51	11	19.3	19	1.99	0	0	0	0	() (0	0	0	0
Jane Street Capital, LLC(JNST)	1001	15.94	975	18.51	6	10.53	20	2.1	0	0	0	0	() (0	0	0	0
NYSE Arca(ARCA)	322	5.13	0	0	1	1.75	321	33.68	0	0	0	0	(0	0	0	0
New York Stock Exchange(NYSE)	166	2.64	0	0	0	0	166	17.42	0	0	0	0	() (0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	141	2.25	0	0	0	0	141	14.8	0	0	0	0	() (0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	87	1.39	0	0	2	3.51	85	8.92	0	0	0	0	(0	0	0	0
Cboe BZX U.S. Equities Exchange(BATS)	74	1.18	0	0	0	0	74	7.76	0	0	0	0	(0	0	0	0
StoneX Financial INC.(INTL)	49	0.78	46	0.87	1	1.75	2	0.21	0	0	0	0			0	0	0	0

Material Aspects:

June 2024

Option Contracts

Summary

						Marketable					
		Non-Directed		Market Orders		Limit Orders as		Non-Marketable Limit			
		Orders as %	Total Market	as % of Non-	Total Marketable	% of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of	
Total No	on-Directed Orders	of All Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders	
	24	100	22	91.67	2	8.33	0	0	0	(0

venues																		
														Net Payment		Net Payment		
														Paid/Received		Paid/Received		
													Net Payment	for	Net Payment	for Non-		Net Payment
												Net Payment	Paid/Received	Marketable	Paid/Received	Marketable		Paid/Received
												Paid/Received	for	Limit	for Non-	Limit	Net Payment	for Other
											Net Payment	for Market	Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
	Non-Directed	Non-Directed			Marketable	Marketable Limit	Non-Marketable Limit	Non-Marketable Limit			Paid/Received for	Orders(cents per	Limit	per hundred	Limit	per hundred	for Other	per hundred
Venue - Non-directed Order Flow	Orders	Orders(%)	Market Orders	Market Orders(%)	Limit Orders	Orders(%)	Orders	Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD)	hundred shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)
Citadel Securities LLC(CDRG)	10	41.67	7 10	45.45	5	0	0	C	0)	0 0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	7	29.17	7 7	31.82	2	0	0	C	0)	0 0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	5	20.83	3 4	18.18	3	1 50	0	C) (0 0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	2	8.33	3 1	4.55	5 1	1 50	0	C) (0 0	0	0	0	0	0	0	0

Material Aspects: